

HORIZON 2020/ FREQUENTLY ASKED QUESTIONS

QUESTION	ANSWER
Eligibility	
May Russian entities participate in Horizon 2020?	Yes, Russian entities may participate in Horizon 2020 actions as entities from any emerging or industrialised third country not associated to Horizon 2020, given that the minimum eligibility criteria of the research consortium are fulfilled.
Research Topic	
How may Russia be involved in Horizon 2020 projects where there is not a specific mentioning for Russian interaction in the Call text?	All calls for proposals under Horizon 2020 are open to Russian participation, not only the ones where Russia is mentioned in the text of the Call. Of course minimum requirements (e.g. in terms of number of European partners when appropriate) must be respected.
Where can I find Calls for Proposals in my specific research topic?	All calls for proposals under Horizon 2020 can be found in the participants portal of the Horizon 2020 website: http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/h2020/index.html Please feel free to also consult the work programmes of the specific sections under Horizon 2020: http://ec.europa.eu/programmes/horizon2020/h2020-sections
Our research activity corresponds to the health sector of the societal challenges in Horizon 2020 (stem cell research). We are wondering if we are eligible for applying with this topic.	You are welcome to consider applying to Horizon 2020. Horizon 2020 is fully open to international participation in all fields and areas. However, please also pay attention that ethics reviews are carried out for proposals raising ethical issues (use of / research on stem cells is carefully regulated in Europe). The ethics review shall verify the respect of ethical principles and legislation.
Funding	
Could you help us to clarify the status of Russian partners regarding the EU project funding?	In terms of funding, Russia, as well as other industrialised countries, will no longer be automatically funded by Horizon 2020. Russian participants will therefore have to find own resources (in-cash or in-kind) as contributions to their participations in H2020 projects. For more details please consult the details of grant management – Horizon 2020 Grants Manual - and the rules for participation which can be downloaded at the website of Horizon 2020 in the Participant Portal: http://ec.europa.eu/research/participants/portal/desktop/en/funding/reference_docs.html

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<p>Should the partner from Russia clearly declare the source of funding (their own)?</p>	<p>No, the partner from Russia does not need to declare the source of their own funding. There is a general obligation for applicants to indicate the sources and amounts of EU funding as well as any other funding received or applied for the same action. However, this obligation ONLY exists if they apply for EU funding which is normally NOT the case for Russian entities under Horizon 2020, since like other industrialised countries, Russia is not any longer "automatically" eligible for funding by Horizon 2020.</p>
<p>Where can I apply for the Russian co-funding?</p>	<p>The sources of the Russian contributions could come from various sources. It is up to the institution to establish their co-funding/sources. The co-funding can be considered in-cash or in-kind (depending on the project and the partner inputs).</p>
<p>Is there any plan from the Russian side to try and match funding to certain subject areas within the Horizon 2020 research agenda and who the Russian partners should discuss this with?</p>	<p>In principle, there is no systematic co-funding mechanism established on the Russian side. Russian participants have to find in-cash or in-kind resources as contributions to their participations in H2020 projects from a variety of sources.</p>
<p>If Russian researchers submit proposals with their EU partners and are successful in getting grants, will the fund be partly transferred to Russia?</p>	<p>Russia, as well as other industrialised countries, will no longer be automatically funded under Horizon 2020, therefore funds would also not be partly transferred to Russia.</p>
<p>Are Russian researchers eligible for funding under the European Research Council?</p>	<p>ERC grants are open to researchers of any nationality, individual Russian researchers will therefore be eligible to apply for and, if successful, be awarded an ERC grant.</p>
<p>Are Russian researchers eligible for funding under mobility and fellowship programmes like the Marie Skłodowska Curie Actions?</p>	<p>The Marie Skłodowska-Curie actions (MSCA) are open to researchers of any nationality. Therefore, individual Russian researchers can receive Marie-Skłodowska-Curie fellowships. As regards Russian organisations, they will not be automatically eligible for funding in MSCA host-driven actions like the Research and Innovation Staff Exchanges (RISE), here they can participate as additional partners but without EU funding.</p>

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<p>If there is no more funding for Russian entities available, what is then the benefit for my institution to participate in Horizon 2020?</p>	<p>Participation in Horizon 2020 offers more than simply money to any participating institution. Horizon 2020 strengthens existing and offers the creation of new research and innovation partnerships with Europe. It provides Russian entities access to advanced knowledge, data and up-to-date technology and helps to increase the research quality of each partner in the consortium. Horizon 2020 contributes to the internationalisation of the Russian partner institution and allows the establishment of new international partnerships and networks. Individual Russian researchers can develop their scientific careers, learn advanced knowledge and information and experience an international atmosphere in a lab. Finally, Horizon 2020 contributes to the tackling of global challenges and offers solutions to societal challenges in line with the joint priorities of the EU and the Russian Federation, such as nanosystems industry, information and telecommunication technologies, rational use of natural resources, energy efficiency & energy conservation, and others.</p>
<p>Partner Search</p>	
<p>How can I find a suitable partner for my project?</p>	<p>There are several supporting tools to find a partner. Please refer to annexed leaflet on HOW TO FIND A PARTNER containing a (non-exhaustive) collection of different partner search tools. You may also contact Russian National Contact Points to ask for support.</p>
<p>Submission of Application</p>	
<p>How can I submit an application?</p>	<p>You can submit your application online via the Participants Portal at the Horizon 2020 website.</p>
<p>Does our consortium need to submit some documents to verify our cooperation relations?</p>	<p>Details of the rules for submitting a proposal including the minimum number of participants in a consortium and the information requested can be downloaded at the website of Horizon 2020 in the Participant Portal where you will find the useful documents: http://ec.europa.eu/research/participants/portal/desktop/en/funding/reference_docs.html</p>
<p>Shall the proposal be submitted by the Russian or the foreign participant?</p>	<p>Your joint project proposal will be submitted <u>online</u> by the consortium coordinator (the applicant) designated by the consortium members.</p>
<p>In the Call for Proposals we noticed its deadline within a period of Wednesday 11 December 2013 to Tuesday 30 September 2014. We wonder if this means we can apply it in the period and the last chance will be the end of September 2014?</p>	<p>Your understanding is correct: the deadline is the last possible moment for submitting proposals, in your case 30 September 2014. You are welcome to submit earlier than the deadline.</p>
<p>Project Coordinator</p>	
<p>Which member of the Consortium is the Project Coordinator?</p>	<p>It is up to the Consortium to designate the Project Coordinator.</p>

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<p>Can a Russian institute be the Principal Investigator / coordinator of the project?</p>	<p>Even though Russian participants could in principle be coordinator of the project - this would, however, not change the rules for funding. A Russian partner as project coordinator would not be automatically eligible for EU funding and could hence not recover from the European Commission any direct or indirect costs incurring for the contract or project management nor retain part of the grant for this purpose. We encourage Russian research institutions willing to participate in H2020 proposals to take contact with all relevant Russian organisations (research funding agencies, companies, etc.)</p>
<p>Intellectual Property Rights</p>	
<p>How is the IPR issue handled in Horizon 2020?</p>	<p>The general rules concerning intellectual property rights can be found in the Rules for Participation (http://ec.europa.eu/research/participants/data/ref/h2020/legal_basis/rules_participation/h2020-rules-participation_en.pdf), which apply to all funding programmes carried out under Horizon 2020. The main IPR rules and requirements applying to Horizon 2020 participants are the following:</p> <ul style="list-style-type: none"> • In terms of ownership of project results, the general principle is that such results are owned by the party which generates them. • In the case where results have been generated by two or several partners jointly, and if it is not possible to establish the joint contribution of each party or to separate the results for the purposes of applying for, obtaining or maintaining their protection, the model grant agreement establishes a default joint ownership regime. • The model grant agreement for Horizon 2020 provides for a general obligations for beneficiaries to protect and exploit and disseminate the project results that they own. • The new model grant agreement also provides the obligation for project partners to grant access rights to their background and foreground, for implementation and exploitation purposes. <p>Specific additional rules concerning intellectual property rights may also be laid down in the grant agreement and work programme applicable to particular projects, for example in areas related to security, infrastructures, ERC, training and mobility, coordination and support, SMEs and EIT.</p>
<p>What 'open access' obligations are there?</p>	<p>Each beneficiary must ensure open access (free of charge, online access for any user) to all peer-reviewed scientific publications relating to its results. The 'open access' provisions foresee in particular that the beneficiary must ensure open access to the deposited publication — via the repository — at the latest:</p> <ul style="list-style-type: none"> (i) on publication, if an electronic version is available for free via the publisher, or (ii) within six months of publication (twelve months for publications in the social sciences and humanities) in any other case.
<p>ANY OTHER QUESTIONS</p>	<p>Please consult the Horizon 2020 Helpdesk through the Research Enquiry Service http://ec.europa.eu/research/participants/portal/desktop/en/support/research_enquiry_service.html</p>